

# Identification In Vivo of Different Rate-Limiting Steps Associated with Transcriptional Activators in the Presence and Absence of a GAGA Element

Yunyuan Vivian Wang, Hongbing Tang, and David S. Gilmour\*

Center for Gene Regulation, Department of Biochemistry and Molecular Biology, The Pennsylvania State University, University Park, Pennsylvania

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**We analyzed the impact of a GAGA element on a transgenic promoter in *Drosophila melanogaster* that was activated by proteins composed of the Tet<sub>on</sub> DNA binding domain and either the heat shock factor (HSF) activation domain or a potent subdomain of VP16. Permanganate footprinting was used to monitor polymerase II (Pol II) on the transgenic promoters in vivo. Activation by Tet<sub>on</sub>-HSF but not by Tet<sub>on</sub>-VP16<sub>A2</sub> required the GAGA element; this correlated with the ability of the GAGA element to establish a paused Pol II. Although the GAGA element was not required for activation by Tet<sub>on</sub>-VP16<sub>A2</sub>, the GAGA element greatly accelerated the rate of activation. The permanganate data also provided evidence that Pol II encountered different rate-limiting steps, following initiation in the presence of Tet<sub>on</sub>-HSF and Tet<sub>on</sub>-VP16<sub>A2</sub>. The rate-limiting step in the presence of Tet<sub>on</sub>-HSF was release of Pol II paused about 20 to 40 nucleotides downstream from the start site. The rate-limiting step in the presence of Tet<sub>on</sub>-VP16<sub>A2</sub> occurred much closer to the transcription start site. Several biochemical studies have provided evidence for a structural transition shortly after Pol II initiates transcription. The behavior of Pol II in the presence of Tet<sub>on</sub>-VP16<sub>A2</sub> provides the first evidence that this transition occurs in vivo.**

The GAGA element in *Drosophila melanogaster* is a paradigm for a class of DNA elements that can function to establish the transcriptional potential of genes without necessarily leading to gene expression. Another member of this class of elements could be the GC box recognized by Sp1, as Sp1 and its cognate binding site have been implicated in setting up polymerase II (Pol II) on the A20 promoter prior to activation by NF- $\kappa$ B (1). The existence of this class of regulatory elements is predicated on the identification of numerous genes that have Pol II associated with their promoters prior to transcriptional activation (1, 8, 14, 27, 28). Most of these elements remain to be identified, and their contributions to transcription are largely unknown.

The impact of the GAGA element on Pol II-promoter interactions is probably best characterized for the heat shock genes in *Drosophila*. *hsp70* is rapidly induced during heat shock by heat shock factor (HSF) (19). Prior to heat shock induction, RNA Pol II initiates transcription but stably pauses 20 to 40 nucleotides further downstream. In vivo protein-DNA cross-linking reveals that the GAGA factor, which binds the GAGA element, associates with the *hsp70* promoter prior to heat shock induction (25, 44). Mutation of a GAGA element in *hsp70* diminishes the level of paused Pol II (16), but the decrease is likely to be caused by a defect in initiation rather than pausing (18, 32). Biochemical studies indicate that the GAGA factor functions with the chromatin remodeling factor, NURF, to form a nuclease sensitive region over the *hsp70* promoter in reconstituted chromatin (35, 36). Although the contribution of

the GAGA elements to the nuclease sensitivity of the *hsp70* promoter has not been rigorously evaluated in vivo, analyses of the *hsp26* heat shock gene in *Drosophila* tissues reveal that the GAGA elements in this promoter contribute to formation of a nuclease sensitive chromatin structure prior to heat shock induction (17, 20, 21). Collectively, these data indicate that the GAGA element functions prior to heat shock induction to establish an open chromatin structure and to allow transcription to occur, resulting in a paused Pol II.

In addition to the heat shock genes, GAGA factor has been implicated in regulation of numerous genes having a variety of cellular functions (39, 42). A genome-wide analysis of the distribution of GAGA factor in *Drosophila* cells identified approximately 250 potential target genes (38). The function of the GAGA factor at these genes is largely unknown.

To learn more about how the GAGA element contributes to transcription, we developed a transgenic system that allowed us to compare the function of different activators on promoters that contain or lack a GAGA element. For the present study, we compared an activator containing amino acids 610 to 691 from HSF to an activator containing three copies of a 13-amino-acid array derived from VP16. Amino acids 610 to 691 from *Drosophila* HSF fused to the Gal4 DNA binding domain (DBD) had previously been shown to activate transcription of a promoter located on extrachromosomal DNA (43). This information has been used to understand the mechanism of activation by HSF (23, 26, 45), yet it is not clear whether this information has relevance to activation in the context of the GAGA element or a paused Pol II. The three tandem repeats of the N-terminal portion of the VP16 activation domain fused to the tetracycline repressor of *Escherichia coli* had previously been shown to be a potent activator of a chromosomal copy of gene in human cells (2). We wanted to know whether the

\* Corresponding author. Mailing address: Center for Gene Regulation, Department of Biochemistry and Molecular Biology, The Pennsylvania State University, University Park, PA 16802. Phone: (814) 863-8905. Fax: (814) 863-7024. E-mail: ds11@psu.edu.

GAGA element would have any impact on such a potent activation domain.

Each activation domain was fused to a derivative of the DBD from the tetracycline repressor that could be induced to bind DNA upon addition of the tetracycline analog, doxycycline (37). This provided the opportunity to analyze the effect of the GAGA element on promoter activity under steady-state conditions and on the rate of transcriptional activation. The latter was of interest because one function of the GAGA element suggested by the heat shock genes is that it provides a means for rapidly inducing a gene.

#### MATERIALS AND METHODS

**Construction of transgenic flies.** Detailed descriptions of plasmid constructs, including sequences, are available upon request. All P-element transformation vectors were based on pCaSpeR (34). Fly transformation was done by the method of Robertson et al. (30). The TRE5 transgenic flies were produced with a plasmid, pTRE5.70w, which was identical to the plasmid pG5-70w (33), with the exception that the region containing the five Gal4p binding sites was replaced with five *tet* operators derived from pTRE (Clontech). The TRE5-GA transgenic flies were produced with a plasmid called pTRE5-GA.70w that was generated by inserting a GAGA element consisting of the sequence CGAGAGAGC between the TATA box and the *tet* operators in pTRE5.70w.

To generate transgenic flies that express Tet<sub>on</sub>-VP16<sub>A2</sub> or Tet<sub>on</sub>-DBD, the appropriate regions were amplified from plasmid pUHRt62-1 (37) and inserted downstream of the *hsp70* promoter in pCaSpeR-hs to produce the plasmids pCaSpeR-hs-Tet<sub>on</sub>-VP16<sub>A2</sub> and pCaSpeR-hs-Tet<sub>on</sub>-DBD. To generate transgenic flies that express Tet<sub>on</sub>-HSF protein, the sequence encoding amino acids 610 to 691 of HSF was PCR amplified from pHSF20 (4) with an additional simian virus 40 nuclear localization signal encoded at the N terminus. The PCR fragment was inserted into an XmaI site located 3' to sequences encoding Tet<sub>on</sub>-DBD in pCaSpeR-hs-Tet<sub>on</sub>-DBD to yield the plasmid called pCaSpeR-hs-Tet<sub>on</sub>-HSF.

**Tissue staining for  $\beta$ -galactosidase activity.** Tissues were dissected from third-instar larvae in phosphate-buffered saline (PBS) and placed in 100  $\mu$ l of PBS in a well slide. PBS was removed and replaced with 100  $\mu$ l of 1% glutaraldehyde in PBS. Tissues were incubated at 22°C for 15 min and washed two times with 100  $\mu$ l of PBS. Tissues were incubated in 100  $\mu$ l of stain solution, consisting of 10 mM NaPO<sub>4</sub> (pH 7.2), 150 mM NaCl, 1 mM MgCl<sub>2</sub>, 6 mM K<sub>4</sub>[Fe<sup>II</sup>(CN)<sub>6</sub>], 6 mM K<sub>3</sub>[Fe<sup>III</sup>(CN)<sub>6</sub>], and 0.3% of X-Gal (5-bromo-4-chloro-3-indolyl- $\alpha$ -D-galactopyranoside) for the times indicated in the legend to Fig. 1.

**Spectrophotometric assay for  $\beta$ -galactosidase activity.** Fat bodies from five larvae were homogenized in 200  $\mu$ l of 50 mM potassium phosphate (pH 7.5), 1 mM MgCl<sub>2</sub>, and 0.2% NP-40. Homogenates were centrifuged at 13,000  $\times$  g for 5 min at 4°C. The supernatant was transferred to a new Eppendorf tube. The extract (50  $\mu$ l for Tet<sub>on</sub>-VP16<sub>A2</sub> and 100  $\mu$ l for Tet<sub>on</sub>-HSF and Tet<sub>on</sub>-DBD) was added to a 1-ml final volume of 50 mM potassium phosphate (pH 7.5), 1 mM MgCl<sub>2</sub>, 1 mM chlorophenol red- $\beta$ -D-galactopyranoside in a disposable cuvette and incubated at 37°C. The A<sub>574</sub> was recorded for 3 h at 30-min intervals. The total amount of protein in the assay was determined by the Bio-Rad protein assay.  $\beta$ -Galactosidase activity was defined as the number of absorbance units per hour per milligram of protein. Results are shown as the mean value of three independent assays.

**Genomic footprinting with permanganate.** Tissues were dissected from 10 larvae and placed in 100  $\mu$ l of Schneider 2 medium (GibcoBRL catalogue no. 11590-056) with or without 1  $\mu$ g of doxycycline/ml. For the kinetic analyses (see Fig. 5 and 6), fat bodies or salivary glands were placed in medium without doxycycline and then transferred to 100  $\mu$ l of medium containing 5  $\mu$ g of doxycycline/ml for various times. For permanganate treatment, tissues were transferred to a tube containing 200  $\mu$ l of cold 20 mM potassium permanganate dissolved in 130 mM NaCl, 5 mM KCl, and 1.5 mM CaCl<sub>2</sub> and incubated for 2 min on ice. The permanganate reaction was stopped with 200  $\mu$ l of 20 mM Tris-HCl (pH 7.5), 20 mM NaCl, 40 mM Na<sub>2</sub>EDTA, 1% sodium dodecyl sulfate (SDS), and 400 mM 2-mercaptoethanol. Purification of genomic DNA and subsequent analysis by ligation-mediated PCR with primers TR-1, TR-2, and TR-3 was done as previously described (33, 41).

**Preparation of antibodies against the Tet<sub>on</sub>-DBD.** A sequence encoding Tet<sub>on</sub>-DBD was amplified from pUHRt62-1 by PCR and introduced into the protein expression vector pET-28-(a) (Novagen). The recombinant protein was expressed in bacteria and purified with Ni-nitrilotriacetic acid resin (QIAGEN),

following the manufacture's instructions. The purified protein was dialyzed against 20 mM HEPES (pH 7.6), 10% glycerol, 100 mM NaCl, 10 mM  $\beta$ -mercapethanol, 0.2 mM phenylmethylsulfonyl fluoride, and 0.1% NP-40 and used to raise antibodies in rabbits (Pocono Research Laboratory and Farm).

**Western blot analyses of Tet<sub>on</sub>-fusion proteins.** Larvae from transgenic flies were heat shocked at 37°C for 30 min, followed by 1 h of recovery to induce expression of Tet<sub>on</sub> proteins. Fat bodies from 10 larvae were placed in 30  $\mu$ l of SDS loading buffer. Fifteen microliters of sample was run on an SDS-12% polyacrylamide gel electrophoresis gel and transferred to a nitrocellulose filter. The ECL Western Blotting system (RPN2108; Amersham Biosciences) was used to detect antibodies bound to proteins on the nitrocellulose filter.

#### RESULTS

**The GAGA element stimulates the steady-state level of activation by Tet<sub>on</sub>-HSF but not by Tet<sub>on</sub>-VP16<sub>A2</sub>.** To determine if the presence of the GAGA element affected the activity of the HSF and VP16<sub>A2</sub> activation domains, two transgenic target genes were made that differed by the presence or absence of a GAGA element (Fig. 1). Both contained five binding sites for Tet<sub>on</sub> located upstream from the *hsp70* core promoter region. Both targets also had sequences encoding  $\beta$ -galactosidase so that expression could be assayed by staining tissues with X-Gal. A short GAGA element was inserted between the Tet<sub>on</sub> binding sites and the TATA box in the construct designated TRE5-GA. DNase I footprinting analysis showed that the GAGA factor expressed in and purified from *E. coli* bound to this element (data not shown). The construct lacking the GAGA element was designated TRE5.

Flies containing either the TRE5 or TRE5-GA transgene were mated with flies containing transgenes encoding Tet<sub>on</sub>-HSF, Tet<sub>on</sub>-VP16<sub>A2</sub>, or Tet<sub>on</sub>-DBD. Tet<sub>on</sub>-DBD consisted of only the DBD. The progeny were raised on food in the presence or absence of doxycycline to control the interaction of the Tet<sub>on</sub> protein with the target promoters. Expression of the Tet<sub>on</sub> derivatives was placed under control of the heat shock gene promoter. Previously, we determined that a 30-min heat shock, followed by a 1-h recovery at room temperature resulted in production of enough Gal4p to see activation of a target transgene (33). Importantly, the 1-h recovery was sufficient to restore the non-heat-shocked state of the *Drosophila* larvae.

To measure expression from the target genes, larval tissues were assayed for the presence of  $\beta$ -galactosidase by staining with X-Gal. In the absence of doxycycline, neither transgenic target showed evidence of activation by Tet<sub>on</sub>-HSF, Tet<sub>on</sub>-VP16<sub>A2</sub>, or Tet<sub>on</sub>-DBD (Fig. 1A and B and data not shown). This indicates that the GAGA element alone did not cause expression of the transgene. In the presence of doxycycline, Tet<sub>on</sub>-HSF was observed to induce expression of TRE5-GA in fat bodies but not salivary glands (Fig. 1D), and no induction was observed for TRE5 in either tissue (Fig. 1C). In contrast, Tet<sub>on</sub>-VP16<sub>A2</sub> induced expression of both target promoters in salivary glands and in fat bodies (Fig. 1E and F). Tet<sub>on</sub>-DBD failed to induce expression in any tissues, indicating that the DBD alone had no transcriptional activity (Fig. 1G and H). Similar results for the different Tet<sub>on</sub> derivatives were observed for TRE5 transgenes inserted in two different chromosomal locations and for TRE5-GA transgenes inserted in three different locations (data not shown).

Based on the intensity of the blue pigment and the duration of the staining periods, we estimated that the level of expres-

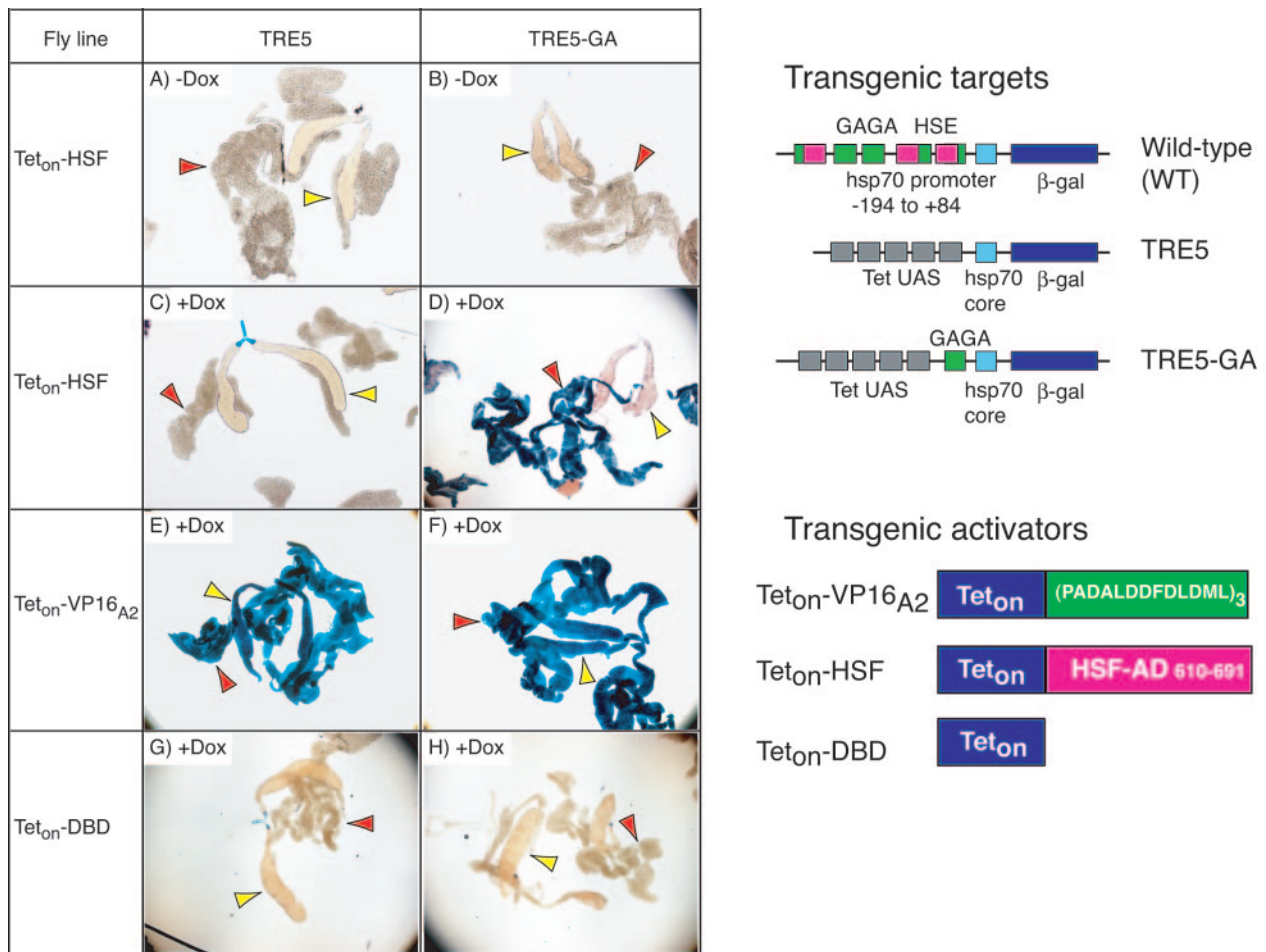


FIG. 1. The HSF activation domain requires a GAGA element in the target promoter to achieve activation. Transgenic fly lines encoding Tet<sub>on</sub>-HSF (left, A to D), Tet<sub>on</sub>-VP16<sub>A2</sub> (E and F), or Tet<sub>on</sub>-DBD (G and H) were crossed with transgenic lines containing TRE5 (A, C, E, and G) or TRE5-GA (B, D, F, and H) promoters. The offspring were raised in the presence or absence of doxycycline (+ Dox, -Dox), heat shocked for 30 min to induce synthesis of Tet<sub>on</sub> proteins, and allowed to recover overnight at 22°C. Salivary glands (yellow arrowheads) and fat body (red arrowheads) were isolated and incubated in X-Gal solution. Tissues expressing Tet<sub>on</sub>-HSF or Tet<sub>on</sub>-DBD were incubated with X-Gal for 5 h, and tissues expressing Tet<sub>on</sub>-VP16<sub>A2</sub> were incubated for 30 min. (Right) Schematics of the transgenic target genes and transgenic activators used in this study. The wild type (WT) is a transgene that has the *hsp70* promoter from -194 to +84 positioned upstream from sequences encoding β-galactosidase. GAGA elements are green, heat-shock elements (HSE) are pink, the *hsp70* core promoter region spanning -44 to +84 is light blue, and the β-galactosidase sequence is dark blue. TRE5 has five binding sites for Tet<sub>on</sub> (grey boxes) located upstream from the *hsp70* core promoter. TRE5-GA has a GAGA element inserted between the core promoter and the Tet upstream activation sequence (UAS). All three of the transgenic activators share the Tet<sub>on</sub> DBD. Tet<sub>on</sub>-VP16<sub>A2</sub> has an activation domain consisting of three tandem copies of a 13-amino-acid peptide derived from VP16 (2). Tet<sub>on</sub>-HSF has an activation domain consisting of the last 81 amino acids of *Drosophila* HSF. Tet<sub>on</sub>-DBD lacks an activation domain.

sion of both targets by Tet<sub>on</sub>-VP16<sub>A2</sub> in fat bodies was 10 times higher than the level of expression of TRE5-GA by Tet<sub>on</sub>-HSF. Quantification of β-galactosidase activity in fat bodies verified this estimate (Fig. 2). In addition, the level of β-galactosidase induced from TRE5 by Tet<sub>on</sub>-HSF in fat body was <10% of the level induced from TRE5-GA and comparable to the background defined by Tet<sub>on</sub>-DBD.

**Genomic footprinting with permanganate indicates that the capacity of Tet<sub>on</sub>-HSF to activate transcription correlates with promoter proximal pausing mediated by the GAGA element.** To investigate the basis for the differences between Tet<sub>on</sub>-HSF and Tet<sub>on</sub>-VP16<sub>A2</sub> in fat bodies, we analyzed the transgenic targets using permanganate footprinting. Permanganate footprinting has been widely used to monitor the presence of Pol II

on DNA (8, 18, 31, 40, 41, 44). Permanganate reacts preferentially with thymines located in transcription bubbles associated with transcriptionally engaged Pol II. We chose this approach for three reasons. First, it allows detection of the paused Pol II on transgenes (41). An alternative method, chromatin immunoprecipitation, cannot distinguish between a paused Pol II and one that is in a preinitiated state (5). Second, it could be applied to small amounts of tissue. Third, there was the potential to use this method to carry out a kinetic analysis of changes in Pol II interactions at the promoter (7).

Larvae were raised in the presence or absence of doxycycline to control the association of the Tet<sub>on</sub> derivatives with either TRE5-GA or TRE5. Synthesis of each Tet<sub>on</sub> derivative was induced by a 30-min heat shock followed by a 1-h recovery. Fat

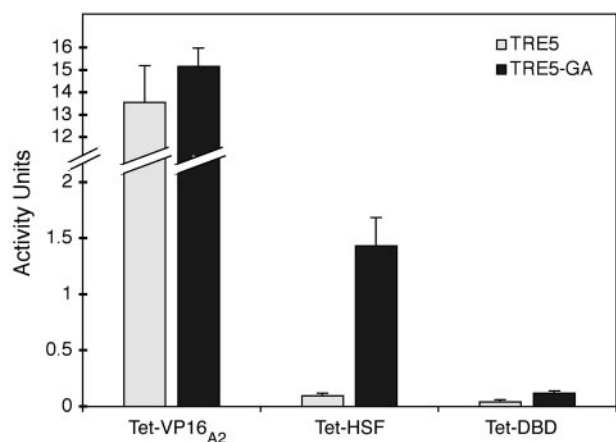


FIG. 2. Measurement of  $\beta$ -galactosidase activity by various Tet<sub>on</sub>-fusion proteins. Transgenic fly lines encoding Tet<sub>on</sub>-VP16<sub>A2</sub>, Tet<sub>on</sub>-HSF, and Tet<sub>on</sub>-DBD were crossed with transgenic lines containing TRE5 or TRE5-GA promoters. The offspring were raised in the presence of doxycycline, heat shocked for 30 min to induce synthesis of Tet<sub>on</sub> proteins, and allowed to recover for 3 h. Fat bodies from five larvae were collected for the chlorophenol red-beta-D-galactopyranoside assay.  $\beta$ -Galactosidase activity was defined as absorbance units per hour per milligram of protein.

bodies were isolated and subjected to permanganate footprinting as previously described for salivary glands (33, 41). Transcriptional activation by Tet<sub>on</sub>-VP16<sub>A2</sub> was readily apparent. The intensities of bands corresponding to most thymines between positions +2 and +53 were markedly higher in samples from larvae that were exposed to doxycycline than those that were not (Fig. 3A, lanes 1 to 4). This difference extends well downstream into the body of the gene (data not shown) and represents a snap shot of a train of Pol II molecules moving along the gene.

In contrast to Tet<sub>on</sub>-VP16<sub>A2</sub>, Tet<sub>on</sub>-HSF only enhanced the permanganate reactivity of TRE5-GA (Fig. 3A, lanes 5 to 8). Changes in permanganate reactivity caused by association of Tet<sub>on</sub>-HSF were most apparent at positions +8, +45, and +53. In accord with differences in the level of expression (Fig. 2), the intensities of the bands at +8, +45, and +53 for TRE5-GA induced by Tet<sub>on</sub>-HSF were less than the intensities of the bands seen for transgenes induced by Tet<sub>on</sub>-VP16<sub>A2</sub> (Fig. 3A, lanes 4 and 8). None of the changes in permanganate reactivity occurred with Tet<sub>on</sub>-DBD, indicating that the DBD alone had no intrinsic effect on either promoter (Fig. 3A, lanes 10 to 12).

Close inspection of Fig. 3A provided an explanation for why Tet<sub>on</sub>-HSF was able to induce TRE5-GA but not TRE5 in fat bodies. In each case where doxycycline was absent, the intensities of the bands at positions +22 and +30 for TRE5-GA were greater than the corresponding bands for TRE5 (Fig. 3A, compare lanes 3, 7, and 11 to 1, 5, and 9). The enhanced reactivity at positions +22 and +30 for TRE5-GA suggested the presence of paused Pol II. This was verified by comparing the permanganate patterns detected on a transgenic version of the *hsp70* promoter to the patterns detected on TRE5 and TRE5-GA (Fig. 3B). The transgenic *hsp70* promoter spanned sequences from -194 to +84 and was previously shown to establish a paused Pol II prior to heat shock induction (41). Enhanced permanganate reactivity at +22 and +30 in non-

heat-shocked cells is a hallmark of paused Pol II (8, 41). Both the wild-type promoter and TRE5-GA exhibited higher levels of permanganate reactivity at these positions than TRE5 (Fig. 3B, lanes 1 to 3). Hence, the ability of Tet<sub>on</sub>-HSF to induce transcription appeared to depend on the presence of paused Pol II, which in turn depended on the GAGA element.

Western blot analyses were done to compare the levels of each Tet<sub>on</sub> derivative produced in fat bodies. As shown in Fig. 3C, comparable levels of each of the derivatives were produced. Hence, the differences between the derivatives depended on the activation domain rather than the amount of activator. To strengthen this conclusion, we compared the activation of TRE5 in larvae that had one or three transgenes encoding Tet<sub>on</sub>-HSF or one transgene encoding Tet<sub>on</sub>-VP16<sub>A2</sub>. Western blotting analysis verified that the increase in the number of transgenes encoding Tet<sub>on</sub>-HSF caused an increase in the amount of activator (Fig. 4B, lanes 1 and 2). Despite the increase in Tet<sub>on</sub>-HSF, no activation was detected on TRE5 (Fig. 4A, lanes 2 and 4).

**The GAGA element provides for rapid induction by both Tet<sub>on</sub>-HSF and Tet<sub>on</sub>-VP16<sub>A2</sub> in fat bodies.** A primary reason for fusing the activation domains to Tet<sub>on</sub> was so we could determine if the GAGA element enhanced the rate of transcriptional activation. Since Tet<sub>on</sub>-HSF failed to activate TRE5, we could only measure how quickly Tet<sub>on</sub>-HSF activated TRE5-GA. The results shown in Fig. 5 indicated that Tet<sub>on</sub>-HSF reached its maximal level of induction of TRE5-GA within 5 min of the addition of doxycycline to fat bodies isolated from larvae that had not been exposed to doxycycline. The levels of permanganate reactivity at thymines downstream from position +2, following the 5-min doxycycline treatment of the fat bodies, were comparable to the levels detected when larvae were exposed continuously to doxycycline (Fig. 5, compare lanes 2 and 6). Note that this pattern of permanganate reactivity represents a snap shot of a dynamic process in which Pol II molecules initiate transcription, clear the promoter region, and are replaced by newly initiated Pol II molecules. In other words, the position +8 permanganate reactivity 60 min after the addition of doxycycline was most likely not due to the same molecule of Pol II as that which was present at 5 min.

Next, we determined if the GAGA element affected the rate of activation by Tet<sub>on</sub>-VP16<sub>A2</sub>. Recall that the steady-state levels of induction for TRE5 and TRE5-GA by Tet<sub>on</sub>-VP16<sub>A2</sub> were comparable (Fig. 2 and 3A). In striking contrast, the presence of the GAGA element markedly increased the rate of induction by Tet<sub>on</sub>-VP16<sub>A2</sub>. As was seen for Tet<sub>on</sub>-HSF, the maximal level of induction of TRE5-GA by Tet<sub>on</sub>-VP16<sub>A2</sub> was achieved within 5 min of the addition of doxycycline (Fig. 5, lanes 14 to 18). In contrast, induction of TRE5 by Tet<sub>on</sub>-VP16<sub>A2</sub> did not become clearly evident until 60 min after the addition of doxycycline (Fig. 5, lane 11).

Interestingly, the relative intensities of the permanganate reactivity at positions +8 and +22 were different for the target genes activated by Tet<sub>on</sub>-HSF and Tet<sub>on</sub>-VP16<sub>A2</sub> (Fig. 5, lanes 2 to 18). In the case of Tet<sub>on</sub>-HSF, the reactivity at +22 was greater than that at +8, whereas the opposite occurred for Tet<sub>on</sub>-VP16<sub>A2</sub>. As will be discussed later, this suggests that Pol II encounters different rate-limiting steps in the presence of these activators.

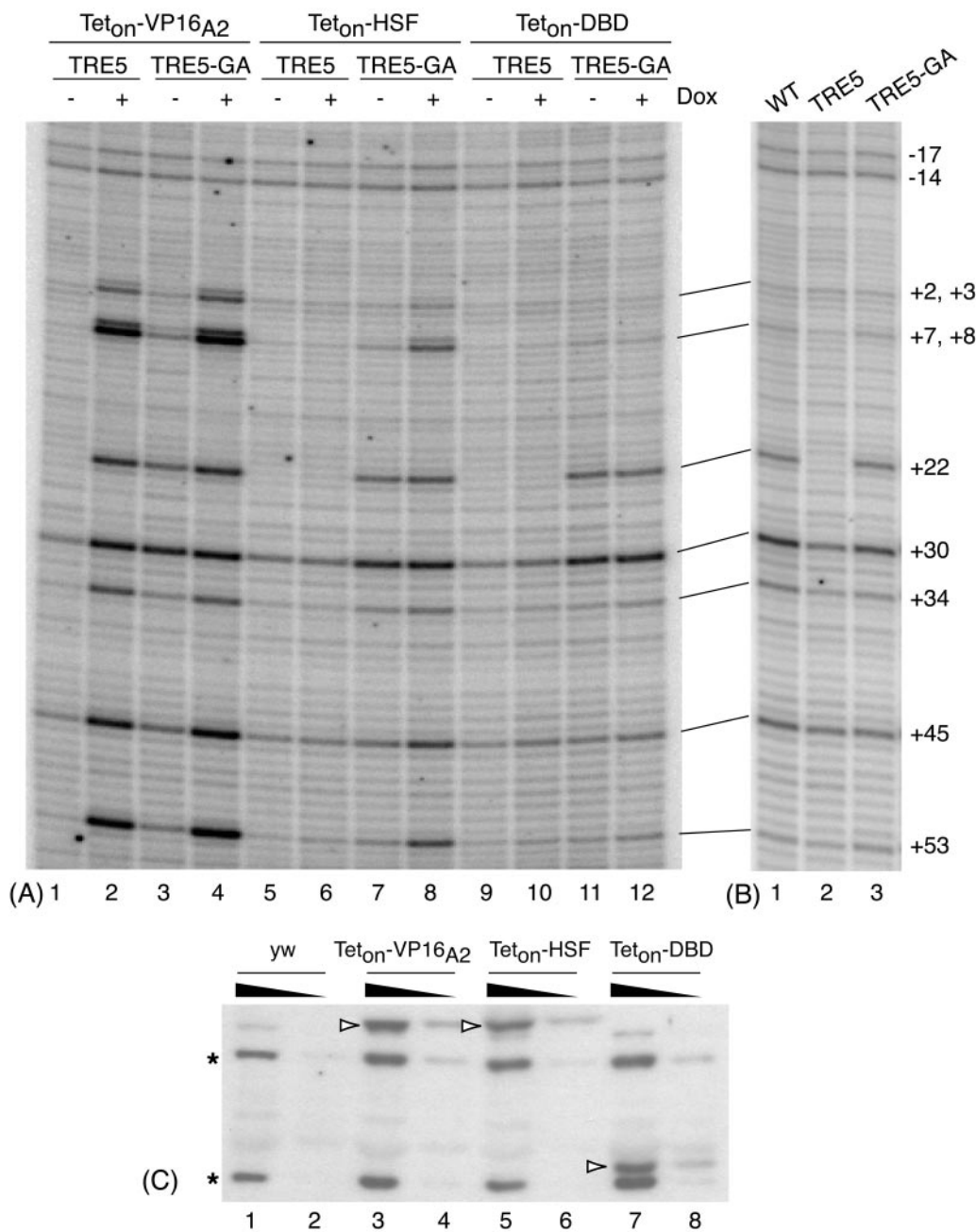


FIG. 3. Permanganate footprinting analysis of TRE5 and TRE5-GA target genes subjected to the action of various Tet<sub>on</sub> fusion proteins. (A) Fly lines containing transgenes for Tet<sub>on</sub>-VP16A<sub>2</sub> (lanes 1 through 4), Tet<sub>on</sub>-HSF (lanes 5 through 8), or Tet<sub>on</sub>-DBD (lanes 9 through 12) were crossed with fly lines containing the TRE5 (lanes 1, 2, 5, 6, 9, and 10) or TRE5-GA (lanes 3, 4, 7, 8, 11, and 12) targets. Offspring were raised either in the absence (odd-numbered lanes) or presence (even-numbered lanes) of doxycycline. Larvae were heat shocked for 30 min, followed by a 1-h recovery to induce synthesis of the Tet<sub>on</sub> proteins. Fat bodies were then subjected to permanganate genomic footprinting. (B) Permanganate footprinting of transgenic targets in fat bodies. See the legend to Fig. 1 for a description of the target genes WT, TRE5, and TRE5-GA. No Tet<sub>on</sub> derivatives were present in these tissues. (C) Western blot analysis of various Tet<sub>on</sub>-fusion proteins produced in fat bodies. Fat bodies from five larvae (lanes 1, 3, 5, and 7) or one-third of this amount (lanes 2, 4, 6, and 8) were run on the gel. Tet<sub>on</sub> fusion proteins (open arrowheads) were detected with antibody raised against the Tet<sub>on</sub>-DBD. The two bands marked by asterisks represent background proteins detected with this antibody, and they serve as loading controls.

**Rapid induction correlates with the presence of paused Pol II.** The presence of the GAGA element greatly accelerated the rate of induction achieved by Tet<sub>on</sub>-VP16A<sub>2</sub> (Fig. 5). To determine if this was due to the mere presence of the GAGA

element or the presence of paused Pol II, we analyzed the kinetics of induction by Tet<sub>on</sub>-VP16A<sub>2</sub> in salivary glands. In salivary glands, the level of paused Pol II detected on TRE5-GA in the absence of activator was significantly less

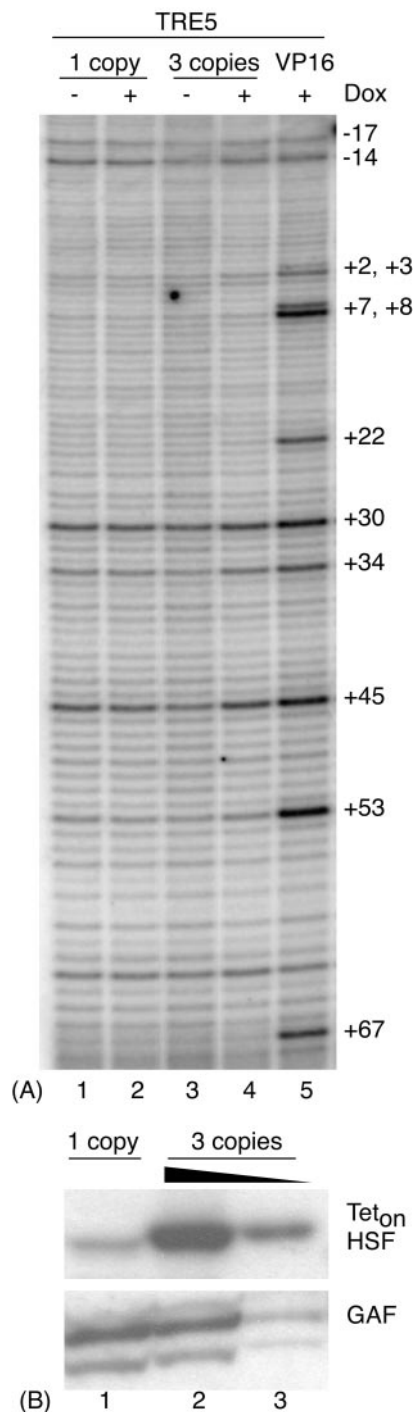


FIG. 4. Activation of TRE5 cannot be achieved by increasing the amount of Tet<sub>on</sub>-HSF in fat bodies. (A) Fat bodies were isolated from larvae containing one copy of the TRE5 transgene and either one or three copies of the transgene for Tet<sub>on</sub>-HSF or one copy of the transgene for Tet<sub>on</sub>-VP16<sub>A2</sub>. Larvae were raised either in the absence (lanes 1 and 3) or presence (lanes 2, 4, and 5) of doxycycline. Fat bodies were subjected to permanganate footprinting. (B) Western blot analysis of Tet<sub>on</sub>-HSF proteins produced in fat bodies. Tet<sub>on</sub>-HSF was detected in extracts from the transgenic flies that contain one copy (lane 1) or three copies (lane 2, one-third dilution in lane 3) of Tet<sub>on</sub>-HSF transgene (with antibody against Tet<sub>on</sub>-DBD). Samples were also analyzed for the presence of GAGA factor (GAF) as a control for sample loading.

than the level detected on a transgenic version of the *hsp70* promoter (Fig. 6A, compare lanes 1 and 3). This differed from the situation in fat bodies, where comparable levels of paused Pol II were detected on the two transgenic promoters (Fig. 3B).

The kinetic analysis showed that Tet<sub>on</sub>-VP16<sub>A2</sub> induced TRE5-GA more slowly in salivary glands than in fat bodies. Figure 6B (lanes 1 to 6) shows that induction first became evident 10 min after the addition of doxycycline but required 30 min before the steady-state level of activation was reached. In contrast, full activation by Tet<sub>on</sub>-VP16<sub>A2</sub> in fat bodies was achieved within 5 min (Fig. 5, lanes 13 to 18). The slower rate of activation in salivary glands was not due to a delay in the association of doxycycline with Tet<sub>on</sub>-VP16<sub>A2</sub>, since we could detect changes in transcriptional activity caused by Tet<sub>off</sub>-VP16 within 5 min of adding doxycycline to salivary glands that had this activator bound to TRE5 (data not shown). Tet<sub>off</sub>-VP16 was induced to dissociate from the DNA upon the addition of doxycycline (10). Hence, rapid induction correlated with the presence of paused Pol II rather than the mere presence of the GAGA element.

## DISCUSSION

We developed a transgenic system to investigate the impact of a GAGA element on the activity of two transcriptional activation domains. This transgenic system is unique in that it allowed us to examine both steady-state and kinetic features of transcriptional activation in vivo. The use of permanganate footprinting allowed us to interrogate the behavior of the Pol II on the DNA at a level not readily available to other methods, such as chromatin immunoprecipitation or nuclear run-on. Certainly, neither of these latter methods would have detected the high density of Pol II that is evident immediately downstream from the transcription start site in the presence of Tet<sub>on</sub>-VP16<sub>A2</sub>. We anticipate that further application of this overall experimental approach will provide significant insight into transcriptional control mechanisms in living cells.

This study was motivated by two main questions. First, would a small GAGA element be sufficient to establish a paused Pol II in the absence of an activator? This question is important because GAGA elements are found associated with a broad spectrum of promoters, making it important to learn if the presence of a GAGA element correlates with promoter proximal pausing. Second, what impact does the GAGA element have on activation by different activators? This information could help us understand what purpose the GAGA element serves in regulating gene expression. We examined the HSF activation domain, which had been defined by transient transfection analyses, to determine if this activation domain depended on the GAGA element. We examined the VP16 activation domain because we anticipated its strength might obviate the requirement for the GAGA element. In addressing these two questions, we have obtained convincing evidence in vivo that Pol II encounters different rate-limiting steps after initiating transcription in the presence of these two activators.

**A GAGA element can establish paused Pol II but with tissue specificity.** The expression patterns of  $\beta$ -galactosidase produced by the TRE5 and TRE5-GA transgenes in the presence of Tet<sub>on</sub>-HSF provided us with the first evidence that the

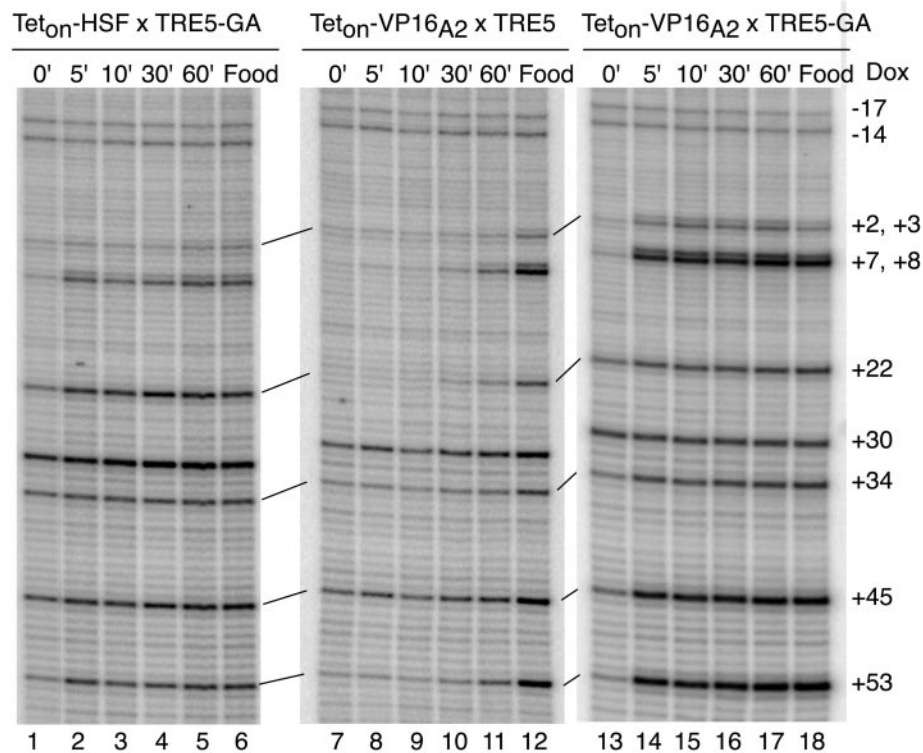


FIG. 5. Kinetic analysis of activation in fat bodies. Fly lines containing transgenes for Tet<sub>on</sub>-HSF or Tet<sub>on</sub>-VP16<sub>A2</sub> were crossed with fly lines containing the TRE5 or the TRE5-GA transgenes. Larvae were raised in the absence of doxycycline. Fat bodies were isolated from larvae, following production of the Tet<sub>on</sub> proteins in larvae by heat shock and recovery. The fat bodies were incubated in medium containing 5 μg of doxycycline/ml for 0, 5, 10, 30, or 60 min, followed by permanganate treatment. Lanes 1 to 5, permanganate reactivity of TRE5-GA at various times following the induction of binding of Tet<sub>on</sub>-HSF; lanes 7 to 11, permanganate reactivity of TRE5 at various times following the induction of binding of Tet<sub>on</sub>-VP16<sub>A2</sub>; lanes 13 to 17, permanganate reactivity of TRE5-GA at various times following the induction of binding of Tet<sub>on</sub>-VP16<sub>A2</sub>. Lanes 6, 12, and 18 show permanganate sensitivity of target genes in fat bodies when larvae were fed doxycycline.

GAGA element established paused Pol II on TRE5-GA in fat bodies. Subsequent permanganate data verified this possibility. Our results complement another study showing that mutation of a GAGA element in the *hsp70* promoter caused a loss of paused Pol II (16). Our results indicate that it is not necessary to have extensive arrays of GAGA elements as is present in the *hsp70* promoter to establish paused Pol II. This has immediate relevance to the β1-tubulin promoter in *Drosophila*. This promoter has paused Pol II (8), yet visual inspection of the promoter region only reveals two short GAGA elements separated by over 100 bp. Chromatin immunoprecipitation data show 12-fold-less GAGA factor cross-linking to β1-tubulin than to *hsp70* (44a). The results reported here indicate that this low level of GAGA factor could be sufficient to account for the paused Pol II.

Our results have also uncovered a complexity not previously known. TRE5-GAGA failed to establish a paused Pol II in salivary glands. This is not due to the absence of factors required for pausing, since paused Pol II was evident on the endogenous *hsp70* gene. We are lead to conclude that the small GAGA element is not sufficient for setting up the paused Pol II in all tissues. One obvious difference between the endogenous *hsp70* promoter and TRE5-GA is the number of GAGA elements—the endogenous promoter has numerous elements distributed throughout a 150-bp region located up-

stream from the TATA element (9). Modest differences in the availability of the GAGA factor in different tissues could impact on the activity of the GAGA factor at a particular promoter because GAGA factor binds cooperatively to multiple GAGA elements (13, 41). Identifying conditions that allow establishment of the paused Pol II on the transgene in salivary glands provides a new avenue for investigating the mechanism of promoter proximal pausing.

**The GAGA element significantly affects the rate of activation without itself causing activation.** Based on our assessment of levels of β-galactosidase accumulated over the course of many hours, our initial impression was that Tet<sub>on</sub>-VP16<sub>A2</sub> functioned independently of the GAGA element. However, the kinetic analysis made possible through the use of the Tet<sub>on</sub> DNA binding domain results in a strikingly different conclusion. Based on the intensity of the permanganate bands at positions +45 and +53 and at additional sites further downstream (data not shown), we conclude that Tet<sub>on</sub>-VP16<sub>A2</sub> achieved maximal induction of the TRE5-GA promoter within 5 min of adding doxycycline. In contrast, a 60-min delay occurred before partial induction of the TRE5 promoter was clearly evident. Rapid induction correlated better with the presence of paused Pol II than the mere presence of the GAGA element, since slow induction occurred for TRE5-GA

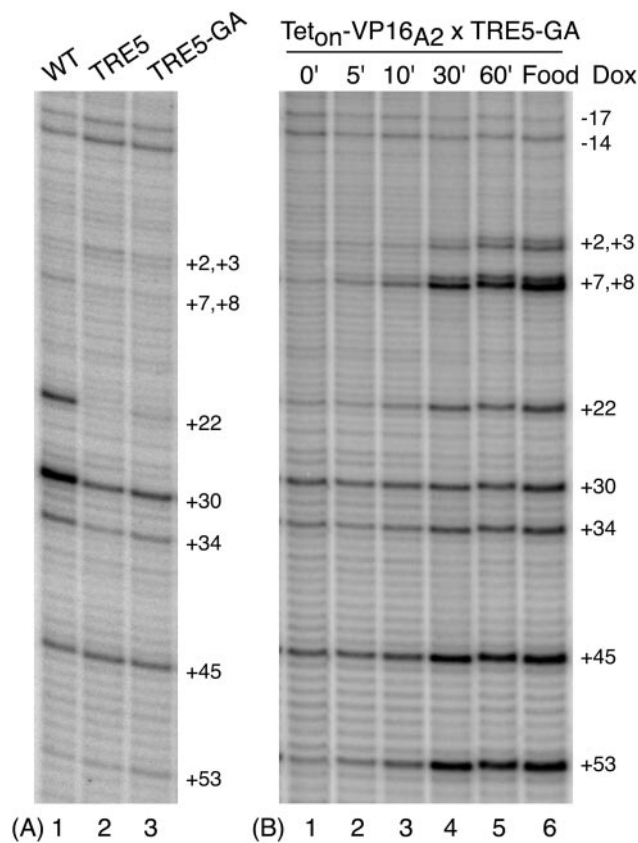


FIG. 6. Kinetic analysis of TRE5-GA activation by Tet<sub>on</sub>-VP16<sub>A2</sub> in salivary glands. (A) Permanganate footprinting of transgenic targets in salivary glands. See the legend to Fig. 1 for a description of the target genes WT, TRE5, and TRE5-GA. (B) Fly lines expressing Tet<sub>on</sub>-VP16<sub>A2</sub> were crossed with fly lines containing TRE5-GA. Larvae were raised in the absence of doxycycline. Production of Tet<sub>on</sub>-VP16<sub>A2</sub> was induced by 30-min heat shock, followed by 1-h recovery at room temperature. Salivary glands were isolated from larvae and subjected to doxycycline induction and permanganate footprinting as described for fat bodies.

in salivary glands where paused Pol II was not evident despite the presence of the GAGA element.

We consider two ways the GAGA element might accelerate the rate of activation. By establishing a paused Pol II, activation could bypass processes involved in altering chromatin structure or recruiting TFIID. Alternatively, the GAGA element could render the adjacent region of DNA accessible to Tet<sub>on</sub>-VP16<sub>A2</sub>. Recent results suggest that these two processes could be interdependent, as mutations in either the TATA element or the GAGA element diminished the DNase I hypersensitivity of the *hsp26* promoter (17).

It is interesting that Tet<sub>on</sub>-VP16<sub>A2</sub> and Tet<sub>on</sub>-HSF induced TRE5-GA with rapid kinetics, yet the latter is clearly less potent, based on the weaker intensity of permanganate reactivity at positions +45 and +53 and the lower level of  $\beta$ -galactosidase that accumulates in the fat bodies. Thus, rapid induction does not necessarily require an activator that gives a high level of expression. Achieving rapid but correct levels of

transcriptional activation is likely to be important for cells to respond appropriately to both external and internal signals.

Analysis in vivo of the functions of *cis*-acting elements and *trans*-acting factors from a kinetic standpoint has received relatively little attention. The facile way in which we were able to execute the kinetic analysis highlights a strength of the permanganate technique and renders this aspect of transcriptional regulation accessible to further investigation.

**Permanganate footprinting reveals that Pol II molecules encounter different rate-limiting steps during transcription in the presence of Tet<sub>on</sub>-HSF and Tet<sub>on</sub>-VP16<sub>A2</sub>.** A significant advantage of the permanganate footprinting technique for monitoring the behavior of Pol II is that it provides a high-resolution view of the location of the Pol II on the DNA once Pol II has initiated transcription. This assertion is supported by both in vitro and in vivo experiments. Previously, we observed that Pol II stalled in vitro at position +1, +3, or +15 produced distinct patterns of permanganate reactivity that correlated well with the predicted location of the Pol II (18). Lis and colleagues were able to deduce from permanganate patterns that the location of paused Pol II on the *hsp26* gene was shifted 10 nucleotides downstream relative to the location on the *hsp70* gene (8), and this conclusion was subsequently verified by nuclear run-on experiments (29).

Tet<sub>on</sub>-HSF and Tet<sub>on</sub>-VP16<sub>A2</sub> caused different patterns of permanganate reactivity to occur on TRE5-GA. In the case of Tet<sub>on</sub>-HSF, permanganate reactivity at +22 and +30 exceeded the permanganate reactivity at +8, whereas the opposite occurred for Tet<sub>on</sub>-VP16<sub>A2</sub>. These results indicate that the distribution of Pol II differs on TRE5-GA in the presence of these activators. In the case of Tet<sub>on</sub>-HSF, the density of Pol II appears higher in the region 20 to 30 nucleotides downstream from the start than in the immediate vicinity of the transcription start. This suggests that each Pol II molecule continues to pause in the promoter proximal region, albeit transiently, even in the presence of Tet<sub>on</sub>-HSF. O'Brien et al. (24) concluded on the basis of in vivo cross-linking data that promoter proximal pausing persisted as a rate-limiting step on the endogenous *hsp70* gene during heat shock and suggested that release of the paused Pol II was the step targeted by HSF. Our results agree with this hypothesis and extend the conclusion by demonstrating that amino acids 610 to 691 of HSF are sufficient to release paused Pol II. This region of HSF has been shown to interact with TBP, mediator, and NURF, but the mechanism by which HSF releases a paused Pol II remains a mystery (23, 26, 45). In subsequent work, we found that the region encompassing amino acids 610 to 664 functions as well as amino acids 610 to 691 (Y. V. Wang and D. S. Gilmour, unpublished data). A deeper analysis of the HSF activation domain based on the approach described here could help pinpoint which protein-protein interaction correlates with releasing paused Pol II.

In the presence of Tet<sub>on</sub>-VP16<sub>A2</sub>, there is a higher density of Pol II in the immediate vicinity of the transcription start site than in the region 20 to 30 nucleotides further downstream. By considering the permanganate patterns that were detected previously when Pol II was stalled at different positions on *hsp70* in vitro (18), we conclude that the majority of the Pol II detected in the promoter proximal region of TRE5-GA has initiated transcription but not reached position +15. The permanganate pattern observed on TRE5-GA in vivo best



matched the pattern when Pol II was stalled at +3. When Pol II was stalled at +3 in vitro, strong permanganate reactivity at +8 was flanked by weaker reactivity at +3 and +22. In contrast, Pol II held at +1 by the presence of alpha-amanitin caused strong permanganate reactivity at -12 and +3 and weaker reactivity at +8, whereas Pol II stalled at +15 caused strong permanganate reactivity at +22 and +30 but weaker reactivity at +8.

Interestingly, the pattern of permanganate reactivity associated with Tet<sub>on</sub>-VP16<sub>A2</sub> was strikingly similar to the pattern we observed on the *hsp70* core promoter when the promoter was induced in vivo by Gal4p (33). We propose that a Pol II molecule rapidly initiates transcription but encounters a slow phase during elongation immediately downstream from the start site. The relatively weak intensity of permanganate reactivity at +22 and +30 indicates that the density of Pol II in this region was less than at the region closer to the promoter. This is consistent with there being a high density of Pol II near the transcription start, since these Pol II molecules would occlude Pol II molecules from being in the +22 region.

Several biochemical studies have provided evidence for changes in the enzymatic and structural properties of Pol II several nucleotides downstream from the transcription start (11, 12, 15, 22). In vitro, a single molecule of Pol II can execute multiple initiation events without leaving the promoter (6). The Pol II appears to elongate a few nucleotides, releases the short nascent transcript, and starts over without dissociating from the promoter. This is known as abortive initiation and has only been observed in vitro, where it has been possible to isolate the short abortive transcripts. Recent X-ray structure and chemical mapping data indicate that TFIIB has a finger-like domain that extends into the active site of the Pol II, and it has been proposed that growth of the nascent RNA chain shortly after initiation results in steric clash between TFIIB and the RNA (3, 15). The capacity of the Pol II to continue elongation could depend on displacement of TFIIB from Pol II. The high-resolution information obtained through our permanganate footprinting analysis provides evidence that this could be a slow step during transcription in vivo when transcription is mediated by a potent activator.

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